

From Parametricity to Conservation Laws, via Noether's Theorem

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Invariance in Programming:

(Reynolds, 1983)

$$\vdash M : \forall \alpha. \text{List } \alpha \rightarrow \text{Int}$$

implies the property

$$\forall f : A \rightarrow B, l : \text{List } A. M l = M (\text{map } f l)$$

... because M does not “know” what α is.

Invariance in Classical Mechanics:

A Lagrangian:

$$L(t, q, \dot{q})$$

If

$$\forall x. L(t, q, \dot{q}) = L(t, q + x, \dot{q})$$

then, for all “physically realisable” paths q :

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} = 0$$

... a conservation law via Noether's theorem.

A Concrete Lagrangian: (Two particles connected by a spring)

$$L(t, x_1, x_2, \dot{x}_1, \dot{x}_2) = \frac{1}{2}m(\dot{x}_1^2 + \dot{x}_2^2) - \frac{1}{2}k(x_1 - x_2)^2$$

This satisfies:

$$\forall y. L(t, x_1, x_2, \dot{x}_1, \dot{x}_2) = L(t, x_1 + y, x_2 + y, \dot{x}_1, \dot{x}_2)$$

so, for all “physically realisable” paths for x_1 and x_2 :

$$\frac{d}{dt}m(\dot{x}_1 + \dot{x}_2) = 0$$

Compare:

$$\forall f: A \rightarrow B, l: \text{List } A. M l = M (\text{map } f l)$$

with

$$\forall x. L(t, q, \dot{q}) = L(t, q + x, \dot{q})$$

The Plan:

- ▶ Types yield invariance properties

(via Parametricity)

- ▶ Invariance properties yield conservation laws

(via Noether's theorem)

Lagrangian Mechanics and Noether's Theorem

Lagrangian Mechanics and Noether's Theorem

From Invariance to Conservation Laws

Lagrangians:

$$L(t, q, \dot{q}) = T - V$$

where:

T is the total *kinetic energy* of the system

V is the total *potential energy* of the system

The Action:

$$\mathcal{S}[q; a; b] = \int_a^b L(t, q(t), \dot{q}(t)) dt$$

Principle of Stationary Action: (Euler-Lagrange Equations)

$$\delta\mathcal{S} = 0 \iff \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$$

The “physically realisable” paths q satisfy these ODEs

An Example Lagrangian:

(Particle under gravity)

$$L(t, x, y, \dot{x}, \dot{y}) = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2) - mgy$$

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Euler-Lagrange Equations:

$$m\ddot{x} = 0 \quad m\ddot{y} = -mg$$

Both equations are of the form $F = m\ddot{x} \dots$

... Newton's second law is derived in Lagrangian mechanics

Given an Action:

$$\mathcal{S}[q; a, b] = \int_a^b L(t, q, \dot{q}) dt$$

assume transformations of time $\Phi_\epsilon : \mathbb{R} \rightarrow \mathbb{R}$

assume transformations of space $\Psi_\epsilon : \mathbb{R}^n \rightarrow \mathbb{R}^n$

where Φ_0 and Ψ_0 are the identity, and $\Phi_{-\epsilon} = \Phi_\epsilon^{-1}$

Invariance of the Action:

The action is invariant if (for all q, a, b, ϵ):

$$\int_a^b L(t, q(t), \dot{q}(t)) dt = \int_{\Phi_\epsilon(a)}^{\Phi_\epsilon(b)} L(s, q^*(s), \dot{q}^*(s)) ds$$

where $q^* = \Psi_\epsilon \circ q \circ \Phi_\epsilon^{-1}$

Noether's Theorem:

If the action

$$\mathcal{S}[q; a; b] = \int_a^b L(t, q, \dot{q}) dt$$

is invariant under Φ_ϵ and Ψ_ϵ , then

$$\frac{d}{dt} \left(\sum_{i=1}^n \frac{\partial L}{\partial \dot{q}_i} \psi_i + \left(L - \sum_{i=1}^n \dot{q}_i \frac{\partial L}{\partial \dot{q}_i} \right) \phi \right) = 0$$

where $\phi = \left. \frac{\partial \Phi}{\partial \epsilon} \right|_{\epsilon=0}$ and $\psi = \left. \frac{\partial \Psi}{\partial \epsilon} \right|_{\epsilon=0}$

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Special case of Noether's "first theorem", (Noether, 1918).

The Spring Lagrangian:

$$L(t, x_1, x_2, \dot{x}_1, \dot{x}_2) = \frac{1}{2}m(\dot{x}_1^2 + \dot{x}_2^2) - \frac{1}{2}k(x_1 - x_2)^2$$

satisfies:

$$L(t + \epsilon t', x_1, x_2, \dot{x}_1, \dot{x}_2) = L(t, x_1, x_2, \dot{x}_1, \dot{x}_2)$$

and so, by Noether's theorem:

$$\frac{d}{dt} \left(\frac{1}{2}m(\dot{x}_1^2 + \dot{x}_2^2) + \frac{1}{2}k(x_1 - x_2)^2 \right) = 0$$

i.e., *energy* is conserved.

Simplified Invariance:

when $\Phi_\epsilon(t) = t + \epsilon t'$, and $\Psi_\epsilon(x) = G_\epsilon x + \epsilon x'$,
then invariance reduces:

$$L(t, q, \dot{q}) = L(t + t', Gq + x', G\dot{q})$$

Deduce invariance properties like this from types:

$$\forall t' : \mathbb{T}(1), x' : \mathbb{T}(3), o : \mathbb{O}(3).$$

$$C^\infty(\mathbb{R}\langle 1, t' \rangle \times \mathbb{R}^3\langle o, x' \rangle \times \mathbb{R}^3\langle o, 0 \rangle, \mathbb{R}\langle 1, 0 \rangle)$$

Relational Parametricity

Relational Parametricity

From Types to Invariance (Reynolds, 1983)

Type Abstraction

$$M : \forall \alpha : *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$$

The implementation M only “knows” two things about α :

- ▶ at least one $z : \alpha$ exists;
- ▶ and, given one, there is another, by $s : \alpha \rightarrow \alpha$.

The program M is uniform under changes of representation of α .

Reynolds' Idea

Formalise M 's symmetry by preservation of relations

For example,

$$M: \forall \alpha:*. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$$

let X and Y be sets, and let $R \subseteq X \times Y$

if we have $z_1 \in X, z_2 \in Y$ such that:

$$(z_1, z_2) \in R$$

and $s_1 : X \rightarrow X, s_2 : Y \rightarrow Y$ such that:

$$\forall (a, b) \in R. (s_1 a, s_2 b) \in R$$

then

$$(M [X] z_1 s_1, M [Y] z_2 s_2) \in R$$

Preservation of Relations

implies $(\forall \alpha. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha) \cong \mathbb{N}$

Higher Kinds
and
Reflexive Graphs

System F: Quantification over *types*:

$$\forall \alpha : *. \text{List } \alpha \rightarrow \text{List } \alpha$$

System F ω : Quantification over *type operators*:

$$\forall f : * \rightarrow *. \forall \alpha : *. f \alpha \rightarrow f \alpha$$

and type-level λ -abstraction:

$$\begin{aligned} \text{List} &= \lambda \alpha : *. \forall_* \beta. \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow \beta \\ \text{Monad} &= \lambda m : * \rightarrow *. (\forall \alpha : *. \alpha \rightarrow m \alpha) \times \\ &\quad (\forall \alpha, \beta : *. m \alpha \rightarrow (\alpha \rightarrow m \beta) \rightarrow m \beta) \end{aligned}$$

Present in Haskell, Scala, and ML (via the module system)

Model invariance using Reflexive Graphs:

(Hasegawa, 1994) (Robinson and Rosolini, 1994) (Dunphy and Reddy, 2004)

Kinds are reflexive graphs:

$A : \text{Set}$ a large set of objects

$R : A \times A \rightarrow \text{Set}$ sets of edges/relations between objects

$\text{refl} : \forall a. R(a, a)$ distinguished identity edges

For example, $\llbracket * \rrbracket = (\text{set}, \text{rel}, \equiv)$

$* \rightarrow *$, $(* \rightarrow *) \rightarrow *$ etc. are also reflexive graphs

Types are morphisms between reflexive graphs:

$$(f, r) : (A, R_A, \text{refl}_A) \rightarrow (B, R_B, \text{refl}_B)$$

where:

$$f : A \rightarrow B$$

$$r : \forall a, a' \in A. R_A(a, a') \rightarrow R_B(f a, f a')$$

such that $r a a (\text{refl}_A a) = \text{refl}_B (f a)$

For example $\text{List} : * \rightarrow *$,

$$\llbracket \text{List} \rrbracket^f X = X^*$$

$$\llbracket \text{List} \rrbracket^r X Y R = \{(l, l') \mid |l| = |l'| \wedge \forall i. R(l[i], l'[i])\}$$

Terms are transformations between morphisms:

Given two morphisms $X, Y: (A, R_A, \text{refl}_A) \rightarrow \llbracket * \rrbracket$,
A *term* is a function:

$$M: \forall a \in A. X^f a \rightarrow Y^f a$$

such that for all $a, a' \in A, r \in R_A(a, a')$,

$$(x, x') \in X^r aa'r \text{ implies } (M a x, M a' x') \in Y^r aa'r$$

Unpacking this definition:

For concrete X and Y we get “free theorems” about M ;
or prove two programs equivalent;
or prove that some types are uninhabited.

Higher-kinded Types
for
Classical Mechanics

Higher kinded types for Classical Mechanics:

$$\begin{aligned}\mathbb{R}^n & : \text{GL}(n) \rightarrow \text{T}(n) \rightarrow \text{CartSp} \\ \mathcal{C}^\infty & : \text{CartSp} \rightarrow \text{CartSp} \rightarrow *\end{aligned}$$

where

- $\text{GL}(n)$ — the kind of invertible linear transformations
- $\text{T}(n)$ — the kind of translations
- CartSp — the kind of cartesian spaces

Classical Mechanics' kinds are groupoids:

$$\llbracket \text{GL}(n) \rrbracket = (\{*\}, \text{GL}(n), I)$$

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$\text{O}(n)$ is the group of orthogonal transformations on \mathbb{R}^n

$$\llbracket \text{T}(n) \rrbracket = (\{*\}, \text{T}(n), 0)$$

$\text{T}(n)$ is the group of translations on \mathbb{R}^n

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$$\llbracket \mathbb{Z} \rrbracket = (\{*\}, \mathbb{Z}, 0)$$

\mathbb{Z} is the additive group of integers

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$$\llbracket \mathbb{Z} \rrbracket = (\{*\}, \mathbb{Z}, 0)$$

\mathbb{Z} is the additive group of integers

$$\llbracket \text{CartSp} \rrbracket = (\mathbb{N}, \text{diffeomorphisms on } \mathbb{R}^n, \text{id})$$

Diffeomorphisms are smooth functions with smooth inverses

Higher-kinded types for Classical Mechanics:

$$\begin{aligned}\mathbb{R}^n & : \text{GL}(n) \rightarrow \text{T}(n) \rightarrow \text{CartSp} \\ \mathbb{C}^\infty & : \text{CartSp} \rightarrow \text{CartSp} \rightarrow *$$

... with interpretations:

$$\begin{aligned}[\mathbb{R}^n]^f * * & = n \\ [\mathbb{R}^n]^r * * G * * t & = \lambda \vec{x}. G\vec{x} + t\end{aligned}$$

$$\begin{aligned}[\mathbb{C}^\infty]^f m n & = \text{smooth functions } \mathbb{R}^m \rightarrow \mathbb{R}^n \\ [\mathbb{C}^\infty]^r m m d_1 n n d_2 & = \{(f, f') \mid d_2 \circ f = f' \circ d_1\}\end{aligned}$$

Term constants for Classical Mechanics:

$$\vec{c} : \{\mathbb{R}^n \langle 1, 0 \rangle\}$$

$$0 : \forall g:GL(n). \{\mathbb{R}^n \langle g, 0 \rangle\}$$

$$(+): \forall g:GL(n), t_1, t_2:T(n). C^\infty(\mathbb{R}^n \langle g, t_1 \rangle \times \mathbb{R}^n \langle g, t_2 \rangle, \mathbb{R}^n \langle g, t_1 + t_2 \rangle)$$

$$(-): \forall g:GL(n), t_1, t_2:T(n). C^\infty(\mathbb{R}^n \langle g, t_1 \rangle \times \mathbb{R}^n \langle g, t_2 \rangle, \mathbb{R}^n \langle g, t_1 - t_2 \rangle)$$

$$(*) : \forall g_1:GL(1), g_2:GL(n). \\ C^\infty(\mathbb{R} \langle g_1, 0 \rangle \times \mathbb{R}^n \langle g_2, 0 \rangle, \mathbb{R} \langle \text{scale}_n(g_1)g_2, 0 \rangle)$$

$$(\cdot) : \forall g:GL(1), o:O(n). \\ C^\infty(\mathbb{R}^n \langle (\text{scale}_n g)(\text{ortho}_n o), 0 \rangle \times \\ \mathbb{R}^n \langle (\text{scale}_n g)(\text{ortho}_n o), 0 \rangle, \mathbb{R} \langle (\text{scale}_n g)^2, 0 \rangle)$$

More term constants for Classical Mechanics:

$$\sin : \forall z:Z. C^\infty(\mathbb{R}\langle 1, \underline{2\pi} * z \rangle, \mathbb{R}\langle 1, 0 \rangle)$$

$$\cos : \forall z:Z. C^\infty(\mathbb{R}\langle 1, \underline{2\pi} * z \rangle, \mathbb{R}\langle 1, 0 \rangle)$$

$$\exp : \forall t:T(1). C^\infty(\mathbb{R}\langle 1, t \rangle, \mathbb{R}\langle \exp t, 0 \rangle)$$

$$(/) : \forall g_1, g_2:GL(1). C^\infty(\mathbb{R}\langle g_1, 0 \rangle \times \mathbb{R}\langle g_2, 0 \rangle, \mathbb{R}\langle g_1 g_2^{-1}, 0 \rangle)$$

$$\text{sqrt} : \forall g:GL(1). C^\infty(\mathbb{R}\langle g^2, 0 \rangle, \mathbb{R}\langle g, 0 \rangle)$$

A syntax for smooth invariant functions:

$$\Theta | \Gamma; \Delta \vdash M : X$$

where

$\Theta = \alpha_1 : \kappa_1, \dots, \alpha_n : \kappa_n$ - kinding context

$\Gamma = z_1 : A_1, \dots, z_m : A_m$ - typing context

$\Delta = x_1 : X_1, \dots, x_k : X_k$ - spatial context

- ▶ Semantics is given by translation into $F\omega$
- ▶ $\Theta | \Gamma; \Delta \vdash M : X \quad \Rightarrow \quad \Theta \vdash \Gamma \vdash [M] : C^\infty(\Delta, X)$

Examples

Free Particle

$$\Theta = t_t : \mathbb{T}(1), t_x : \mathbb{T}(3), o : \mathbb{O}(3)$$

$$\Gamma = m : \mathbb{R}\langle 1, 0 \rangle$$

$$\Delta = t : \mathbb{R}\langle 1, t_t \rangle, x : \mathbb{R}^3\langle \text{ortho}_3(o), t_x \rangle, \dot{x} : \mathbb{R}^3\langle \text{ortho}_3(o), 0 \rangle$$

$$L = \frac{1}{2}m(\dot{x} \cdot \dot{x}) : \mathbb{R}\langle 1, 0 \rangle$$

Free Particle

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$$L = \frac{1}{2}m(\dot{x} \cdot \dot{x}) : \mathbb{R}\langle 1, 0 \rangle$$

Free theorems

$$\begin{aligned} \forall t_t \in \mathbb{R}. \llbracket L \rrbracket(t + t_t, \vec{x}, \dot{\vec{x}}) &= \llbracket L \rrbracket(t, \vec{x}, \dot{\vec{x}}) && \Rightarrow \text{energy} \\ \forall \vec{t}_x \in \mathbb{R}^3. \llbracket L \rrbracket(t, \vec{x} + \vec{t}_x, \dot{\vec{x}}) &= \llbracket L \rrbracket(t, \vec{x}, \dot{\vec{x}}) && \Rightarrow \text{linear momentum} \\ \forall O \in \mathbb{O}(3). \llbracket L \rrbracket(t, O\vec{x}, O\dot{\vec{x}}) &= \llbracket L \rrbracket(t, \vec{x}, \dot{\vec{x}}) && \Rightarrow \text{angular momentum} \end{aligned}$$

In detail:

$$\forall O \in O(3). \llbracket L \rrbracket(t, O\vec{x}, O\dot{\vec{x}}) = \llbracket L \rrbracket(t, \vec{x}, \dot{\vec{x}})$$

In particular (rotation around the z -axis):

$$O_\epsilon = \begin{pmatrix} \cos \epsilon & \sin \epsilon & 0 \\ -\sin \epsilon & \cos \epsilon & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Apply Noether's theorem with:

$$\Psi_\epsilon \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = O_\epsilon \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} x_1 \cos \epsilon + x_2 \sin \epsilon \\ -x_1 \sin \epsilon + x_2 \cos \epsilon \\ x_3 \end{pmatrix}$$

Derive the conservation law:

$$\frac{d}{dt}(m\dot{x}_2 - m\dot{x}_1) = 0$$

Particle in an arbitrary potential field:

$$\Theta = t_t : \mathbb{T}(1), o : \mathbb{O}(3)$$

$$\Gamma = m : \mathbb{R}\langle 1, 0 \rangle,$$

$$V : \forall o : \mathbb{O}(3). C^\infty(\mathbb{R}^3\langle \text{ortho}_3(o), 0 \rangle, \mathbb{R}\langle 1, 0 \rangle)$$

$$\Delta = t : \mathbb{R}\langle 1, t_t \rangle, x : \mathbb{R}^3\langle \text{ortho}_3(o), 0 \rangle, \dot{x} : \mathbb{R}^3\langle \text{ortho}_3(o), 0 \rangle$$

$$L = \frac{1}{2}m(\dot{x} \cdot \dot{x}) - V(x) : \mathbb{R}\langle 1, 0 \rangle$$

Conserved Quantities:

- ▶ Energy
- ▶ Angular momentum

Even though V is unknown

n-body problem

$$\Theta = n : \text{Nat}, t_t : \mathbb{T}(1), t_x : \mathbb{T}(3), o : \mathbb{O}(3)$$

$$\Gamma = m : \{\mathbb{R}\langle 1, 0 \rangle\}$$

$$\Delta = t : \mathbb{R}\langle 1, t_t \rangle,$$

$$x : \text{vec } n (\mathbb{R}^3 \langle \text{ortho}_3(o), t_x \rangle),$$

$$\dot{x} : \text{vec } n (\mathbb{R}^3 \langle \text{ortho}_3(o), 0 \rangle)$$

$$L = \frac{1}{2} m (\text{sum } (\text{map } (\dot{x}_i \cdot \dot{x}_i \cdot \dot{x}_i)) \dot{x}) - \\ \text{sum } (\text{map } ((x_i, x_j) \cdot Gm^2 / |x_i - x_j|) (\text{cross } x \ x)) : \mathbb{R}\langle 1, 0 \rangle$$

n-body problem

$$\Theta = n : \text{Nat}, t_t : \mathbb{T}(1), t_x : \mathbb{T}(3), o : \mathbb{O}(3)$$

$$\Gamma = m : \mathbb{R}\langle 1, 0 \rangle$$

$$\Delta = t : \mathbb{R}\langle 1, t_t \rangle,$$

$$x : \text{vec } n (\mathbb{R}^3 \langle \text{ortho}_3(o), t_x \rangle),$$

$$\dot{x} : \text{vec } n (\mathbb{R}^3 \langle \text{ortho}_3(o), 0 \rangle)$$

$$L = \frac{1}{2} m (\text{sum } (\text{map } (\dot{x}_i \cdot \dot{x}_i \cdot \dot{x}_i)) \dot{x}) - \\ \text{sum } (\text{map } ((x_i, x_j) \cdot Gm^2 / |x_i - x_j|) (\text{cross } x \ x)) : \mathbb{R}\langle 1, 0 \rangle$$

Conserved quantities:

- ▶ Energy
- ▶ Linear momentum
- ▶ Angular momentum

Pendulum:

$$\Theta = t_t : \mathbb{T}(1), z : Z$$

$$\Gamma = m : \{\mathbb{R}\langle 1, 0 \rangle\}, l : \{\mathbb{R}\langle 1, 0 \rangle\}$$

$$\Delta = t : \mathbb{R}\langle 1, t_t \rangle, \theta : \mathbb{R}\langle 1, \underline{2\pi} * z \rangle, \dot{\theta} : \mathbb{R}\langle 1, 0 \rangle$$

Pendulum:

$$\Theta = t_t : \mathbb{T}(1), z : Z$$

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$$L = \text{let } y = l \sin \theta \text{ in}$$

$$\text{let } \dot{x} = l \dot{\theta} \cos \theta \text{ in}$$

$$\text{let } \dot{y} = -l \dot{\theta} \sin \theta \text{ in}$$

$$\frac{1}{2} m (\dot{x}^2 + \dot{y}^2) - mgy : \mathbb{R}\langle 1, 0 \rangle$$

Pendulum:

$$\Theta = t_t : \mathbb{T}(1), z : Z$$

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$$\text{let } \dot{x} = l \dot{\theta} \cos \theta \text{ in}$$

$$\text{let } \dot{y} = -l \dot{\theta} \sin \theta \text{ in}$$

$$\frac{1}{2} m (\dot{x}^2 + \dot{y}^2) - mgy : \mathbb{R}\langle 1, 0 \rangle$$

Free theorems:

Energy conservation

Invariance under $z : Z$ not smooth \Rightarrow no conserved property

Damped spring

$$\Theta = t_t : \mathbb{T}(1)$$

$$\Gamma = k : \{\mathbb{R}\langle 1, 0 \rangle\}$$

$$\Delta = t : \mathbb{R}\langle 1, t_t + t_t \rangle, x : \mathbb{R}\langle \exp(-t_t), 0 \rangle, \dot{x} : \mathbb{R}\langle \exp(-t_t), 0 \rangle$$

$$L = \left(\frac{1}{2} \dot{x}^2 - \frac{1}{2} x^2 \right) \exp(t) : \mathbb{R}\langle 1, 0 \rangle$$

Damped spring

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$$\Gamma = k : \{\mathbb{R}\langle 1, 0 \rangle\}$$

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$$L = \left(\frac{1}{2} \dot{x}^2 - \frac{1}{2} x^2 \right) \exp(t) : \mathbb{R}\langle 1, 0 \rangle$$

Conservation Law:

$$\frac{d}{dt} \left[\left(\frac{1}{2} x \dot{x} + \frac{1}{2} \dot{x}^2 + \frac{1}{2} x^2 \right) e^t \right] = 0$$

Conclusions...

What's been done:

A type system for Lagrangians

Types \Rightarrow Free theorems \Rightarrow Noether's Thm. \Rightarrow Conservation Laws