

Grading monads, comonads, distributive laws

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building on recent works of

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What is this?

- We organize (co)effectful computations with monads, idioms (lax monoidal endofunctors), comonads, arrows, relative monads etc.
- Often it is useful to track the “degree” of effectfulness, e.g., for ensuring safety (honoring of given resource usage bounds) or optimizations.
- Enter *grading* of monads, idioms etc.
- This is revisiting the old idea of effect systems and in particular of the marriage of monads and effects (with effect inference and all that).
- This time we are guided by a mathematically driven foundation.

Married monads and effects: Graded monadic metalanguage

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash \text{ret } t : T i A}$$

$$\frac{\Gamma \vdash t : T e A \quad \Gamma, x : A \vdash u : T f B}{\Gamma \vdash \text{case } t \text{ of } (\text{ret } x) \mapsto u : T (e * f) B}$$

$$\frac{\Gamma \vdash t : T e B \quad e \leq e'}{\Gamma \vdash t : T e' B}$$

Outline

- Graded monads
 - Kleisli and Eilenberg-Moore categories for graded monads
- Graded “monads of monoids” (MonadPlus instances)
- Graded distributive laws
- Graded comonads

Graded monads

- Given a monoid $(E, i, *)$. A *graded monad* on a category \mathbb{C} is
 - for any $e \in E$, a functor $T_e : \mathbb{C} \rightarrow \mathbb{C}$
 - a nat. transf. $\eta : \text{Id} \rightarrow T_i$
 - for any $e, f \in E$, a nat. transf. $\mu^{e,f} : T_e \cdot T_f \rightarrow T(e * f)$

such that

$$\begin{array}{ccc}
 \text{Id} \cdot T_e \xrightarrow{\eta \cdot T_e} T_i \cdot T_e & & T_e \cdot \text{Id} \xrightarrow{T_e \cdot \eta} T_e \cdot T_i \\
 \parallel & \downarrow \mu^{i,e} & \parallel & \downarrow \mu^{e,i} \\
 T_e \equiv T(i * e) & & T_e \equiv T(e * i)
 \end{array}$$

$$\begin{array}{ccc}
 T_e \cdot (T_f \cdot T_g) \equiv (T_e \cdot T_f) \cdot T_g \xrightarrow{\mu^{e,f} \cdot T_g} T(e * f) \cdot T_g & & \\
 T_e \cdot \mu^{f,g} \downarrow & & \downarrow \mu^{e * f, g} \\
 T_e \cdot T(f * g) \xrightarrow{\mu^{e, f * g}} T(e * (f * g)) \equiv T((e * f) * g) & &
 \end{array}$$

- In short, a graded monad on \mathbb{C} is a lax monoidal functor from $(E, i, *)$ as a discrete monoidal category to $([\mathbb{C}, \mathbb{C}], \text{Id}, \cdot)$.

Graded monads ctd

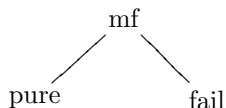
- A very useful generalization is to generalize from a monoid to a *pomonoid* $((E, \leq), i, *)$,
i.e., a set E with a partial order \leq and a monoid structure $(i, *)$ such that $*$ is monotone wrt. \leq .
- NB! i need not be the least element (nor the greatest).
- Then a *graded monad* has also
 - for any $e \leq e'$, a natural transformation $T(e \leq e') : T e \rightarrow T e'$ such that

$$\begin{array}{ccc} T(e \leq e) = \text{id}_{T e} & & \\ T(e' \leq e'') \circ T(e \leq e') = T(e \leq e' \leq e'') & & \\ T e \cdot T f \xrightarrow{\mu^{e, f}} T(e * f) & & \\ \begin{array}{c} \downarrow T(e \leq e') \cdot T(f \leq f') \\ T e' \cdot T f' \end{array} & \xrightarrow{\mu^{e', f'}} & \begin{array}{c} \downarrow T(e * f \leq e' * f') \\ T(e' * f') \end{array} \end{array}$$

- Again, a graded monad is a lax monoidal functor, this time from a thin monoidal category.
- One can also grade with a general monoidal category.

Example: Graded maybe

$$E = \{\text{pure}, \text{fail}, \text{mf}\}$$



$i = \text{pure}$

*	pure	fail	mf
pure	pure	fail	mf
fail	fail	fail	fail
mf	mf	fail	mf

$$T \text{ pure} \quad X = X$$

$$T \text{ fail} \quad X = 1$$

$$T \text{ mf} \quad X = X + 1$$

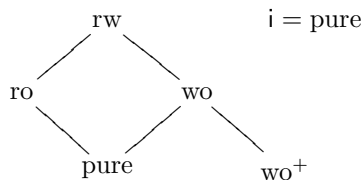
$$T (\text{pure} \leq \text{mf}) \quad X = X \xrightarrow{\text{inl}} X + 1$$

$$T (\text{fail} \leq \text{mf}) \quad X = 1 \xrightarrow{\text{inr}} X + 1$$

Example: Graded state

Given a set S of states.

$$E = \{\text{pure}, \text{ro}, \text{wo}^+, \text{wo}, \text{rw}\}$$



*	pure	ro	wo ⁺	wo	rw
pure	pure	ro	wo ⁺	wo	rw
ro	ro	ro	rw	rw	rw
wo ⁺	wo ⁺	wo ⁺	wo ⁺	wo ⁺	wo ⁺
wo	wo	rw	wo ⁺	wo	rw
rw	rw	rw	rw	rw	rw

$$T_{\text{pure}} \quad X = X$$

$$T_{\text{ro}} \quad X = S \Rightarrow X$$

$$T_{\text{wo}^+} \quad X = S \times X$$

$$T_{\text{wo}} \quad X = (S + 1) \times X \cong (S \times X) + X$$

$$T_{\text{rw}} \quad X = S \Rightarrow S \times X$$

Example: Graded writer

Given an alphabet Σ .

Option 1:

$$(E, \leq, i, *) = (\mathbb{N}, \leq, 0, +)$$

$$T_n X = \Sigma^{\leq n} \times X$$

Option 2:

$$(E, \leq, i, *) = (\mathcal{P}\Sigma^*, \subseteq, [], ++)$$

(or we could use any class of languages closed under $[]$ and $++$)

where

$$[] = \{[]\}$$

$$L ++ L' = \{w ++ w' \mid w \in L, w' \in L'\}$$

$$T L X = L \times X$$

Kleisli category of a graded monad

- Given a pomonoid $(\mathbb{E}, i, *)$ and a graded monad T on \mathbb{C} .
- An object of the *Kleisli category* of T is given by an element e of E and object X of \mathbb{C} .
- A map between (e, X) , (e', Y) is given by an element f of E such that $e * f \leq e'$ and a map $k : X \rightarrow T f Y$, modulo the equivalence relation \sim given by the rule

$$\frac{f \leq f'}{(f, e * f \leq e * f' \leq e', k) \sim (f', e * f' \leq e', T(f \leq f') \circ k)}$$

Eilenberg-Moore category of a graded monad

- An object of the *E-M category* of T (an algebra) is given by
 - for any $e : E$, an object $A e$
 - for any $e \leq e'$, a map $A(e \leq e') : A e \rightarrow A e'$,
 - for any $e, f : E$, a map $a^{e,f} : T e(A f) \rightarrow A(e * f)$

such that

$$\begin{array}{ccccc}
 T e(A f) & \xrightarrow{a^{e,f}} & A(e * f) & A e & \xrightarrow{\eta_{Ae}} & T i(A e) & T e(T f(A g)) & \xrightarrow{\mu_{A g}^{e,f}} & T(e * f)(A g) \\
 \downarrow A(e \leq e') & & \downarrow A(e * f \leq e' * f') & \searrow & & \downarrow a^{i,e} & \downarrow T e a^{f,g} & & \downarrow a^{e * f, g} \\
 T e'(A f') & \xrightarrow{a^{e',f'}} & A(e' * f') & & & A e & T e(A(f * g)) & \xrightarrow{a^{e,f * g}} & A(e * f * g)
 \end{array}$$

- A morphism (algebra map) between (A, a) , (B, b) is given by
 - for any $e : E$, a map $h^e : A e \rightarrow B e$

such that

$$\begin{array}{ccc}
 A e & \xrightarrow{h^e} & B e \\
 \downarrow A(e \leq e') & & \downarrow B(e \leq e') \\
 A e' & \xrightarrow{h^{e'}} & B e'
 \end{array}
 \qquad
 \begin{array}{ccc}
 T e(A f) & \xrightarrow{a^{e,f}} & A(e * f) \\
 \downarrow T e h^f & & \downarrow h^{e * f} \\
 T e(B f) & \xrightarrow{b^{e,f}} & B(e * f)
 \end{array}$$

Resolutions of graded monads

- A *resolution* of T is given by
 - a category \mathbb{D} ,
 - a strict monoidal functor $A : (\mathbb{E}, i, *) \rightarrow ([\mathbb{C}, \mathbb{C}], \text{Id}, \cdot)$,
 - adjoint functors L, R between \mathbb{C} and \mathbb{D}

such that

- $T e = R \cdot A e \cdot L$
- (appropriate conditions on η, μ)
- The Kleisli category is the initial resolution, the E-M category is the final resolution.

Graded monads of monoids (MonadPlus instances)

- Given a *right near-semiring* $(E, i, *, o, +)$,
i.e., a set E with two monoid structures $(i, *)$, $(o, +)$,
with $*$ distributing over o and $+$ from the right.
(Left distributivity and commutativity of $+$ are not required.)
- A *graded monad of monoids* on a Cartesian category $(\mathbb{C}, 1, \times)$ is a $(E, i, *)$ -graded monad on \mathbb{C} with
 - a nat. transf. $mze : 1 \rightarrow T o$
 - a nat. transf. $mpl^{e,f} : T e \times T f \rightarrow T(e + f)$

such that

$$\begin{array}{ccc}
 1 \times T e \xrightarrow{mze \times T e} T o \times T e & & T e \times 1 \xrightarrow{T e \times mze} T e \times T o \\
 \lambda_{T e} \downarrow & \downarrow \text{mpl}^{o,e} & \rho_{T e} \uparrow & \downarrow \text{mpl}^{e,o} \\
 T e \equiv T(o + e) & & T e \equiv T(e + o)
 \end{array}$$

$$\begin{array}{ccc}
 T e \times (T f \times T g) \xleftarrow{\alpha_{T e, T f, T g}} (T e \times T f) \times T g \xrightarrow{\text{mpl}^{e,f} \times T g} T(e + f) \times T g & & \\
 T e \times \text{mpl}^{f,g} \downarrow & \xrightarrow{\text{mpl}^{e,f+g}} & T e \times T(f + g) \equiv T((e + f) + g) \\
 & & \downarrow \text{mpl}^{e+f,g}
 \end{array}$$

Graded monads of monoids ctd

$$\begin{array}{ccc}
 1 & \xlongequal{\quad} & 1 \cdot Tg \xrightarrow{mze \cdot Tg} To \cdot Tg \\
 \parallel & & \downarrow \mu^{o,g} \\
 1 & \xrightarrow{mze} & To \xlongequal{\quad} T(o * g)
 \end{array}$$

$$\begin{array}{ccc}
 Te \cdot Tg \times Tf \cdot Tg & \xlongequal{\quad} & (Te \times Tf) \cdot Tg \xrightarrow{mpl^{e,f} \cdot Tg} T(e+f) \cdot Tg \\
 \downarrow \mu^{e,g} \times \mu^{f,g} & & \downarrow \mu^{e+f,g} \\
 T(e * g) \times T(f * g) & \xrightarrow{mpl^{e * g, f * g}} & T(e * g + f * g) \xlongequal{\quad} T((e+f) * g)
 \end{array}$$

- In short, a graded monad of monoids is a lax right near-semiring functor from $(E, i, *, o, +)$ as a discrete semiring category to $([\mathbb{C}, \mathbb{C}], \text{Id}, \cdot, 1, \times)$.
- The cartesian structure $(1, \times)$ on \mathbb{C} (which is lifted to $[\mathbb{C}, \mathbb{C}]$) can be replaced with a general monoidal structure (I, \otimes) .
- Similarly to the monad case, it makes sense to generalize to grading with an ordered right near-semiring or with a general right near-semiring category.

Example: Graded nondeterminism

$$(\mathbb{E}, i, *, o, +) = ((\mathbb{N}, \leq), 1, *, 0, +)$$

$$T_n X = X^{\leq n}$$

$$(\mathbb{E}, i, *, o, +) = ((\mathbb{N}, \geq), 1, *, 0, +)$$

$$T_n X = X^{\geq n}$$

Matching pairs of actions

- Given two monoids $(E_0, i_0, *_0)$ and $(E_1, i_1, *_1)$.
- A *matching pair* is a pair of functions $\searrow: E_1 \times E_0 \rightarrow E_0$, $\swarrow: E_1 \times E_0 \rightarrow E_1$ such that

$$\begin{aligned} e_1 \searrow i_0 &= i_0 \\ e_1 \searrow (e_0 *_0 e'_0) &= (e_1 \searrow e_0) *_0 ((e_1 \swarrow e_0) \searrow e'_0) \\ i_1 \searrow e_0 &= e_0 \\ (e_1 *_1 e'_1) \searrow e_0 &= e_1 \searrow (e'_1 \searrow e_0) \\ e_1 \swarrow i_0 &= e_1 \\ e_1 \swarrow (e_0 *_0 e'_0) &= (e_1 \swarrow e_0) \swarrow e'_0 \\ i_1 \swarrow e_0 &= i_1 \\ (e_1 *_1 e'_1) \swarrow e_0 &= (e_1 \swarrow (e'_1 \searrow e_0)) *_1 (e'_1 \swarrow e_0) \end{aligned}$$

- A matching pair equips $E_0 \times E_1$ with a monoid structure by $i = (i_0, i_1)$ and $(e_0, e_1) * (e'_0, e'_1) = (e_0 *_0 (e_1 \searrow e'_0), (e_1 \swarrow e'_0) *_1 e'_1)$, a *Zappa-Szép product* structure on $E_0 \times E_1$.
- Matching pairs and Zappa-Szép product structures are in a bijection.

Graded distributive laws

- Given two monoids $(E_0, i_0, *_0)$, $(E_1, i_1, *_1)$ with a matched pair and graded monads (T_0, η_0, μ_0) and (T_1, η_1, μ_1) .
- A *graded distributive law* consists of, for any $e_1 : E_1$, $e_0 : E_0$, a nat. transf. $\theta^{e_1, e_0} : T_1 e_1 \cdot T_0 e_0 \rightarrow T_0 (e_1 \searrow e_0) \cdot T_1 (e_1 \swarrow e_0)$ such that

$$\begin{array}{ccc}
 T_1 e_1 & \xlongequal{\quad\quad\quad} & T_1 e_1 \\
 \downarrow T_1 e_1 \cdot \eta_0 & & \downarrow \eta_0 \cdot T_1 e_1 \\
 T_1 e_1 \cdot T_0 i_0 & \xrightarrow{\theta^{e_1, i_0}} & T_0 (e_1 \searrow i_0) \cdot T_1 (e_1 \swarrow i_0) \xlongequal{\quad\quad\quad} T_0 i_0 \cdot T_1 e_1
 \end{array}$$

and three more equations hold

- Let $T(e_0, e_1) = T_0 e_0 \cdot T_1 e_1$. A graded distributive law equips T with a graded monad structure for the Zappa-Szép product by

$$\begin{aligned}
 \eta &= \eta_0 \cdot \eta_1 \\
 \mu_{(e_0, e_1), (e'_0, e'_1)} &= \mu_0^{e_0, e_1 \searrow e'_0} \cdot \mu_1^{e_1 \swarrow e'_0, e'_1} \circ T_0 e_0 \cdot \theta^{e_1, e'_0} \cdot T_1 e_1
 \end{aligned}$$

a *compatible graded monad* structure.

- Distributive laws and compatible graded monad structures are in a bijection.

Example: Distributing graded maybe

Let $(\mathbb{E}_1, i_1, *_1)$ and (T_1, η_1, μ_1) be the pomonoid and graded monad from the graded maybe example.

For any pomonoid $(\mathbb{E}_0, i_0, *_0)$ that has joins and graded monad (T_0, η_0, μ_0) , the following is a matching pair for which we have a graded distributive law:

$$\begin{aligned} \text{pure} \searrow e_0 &= e_0 & e_1 \swarrow e_0 &= e_1 \\ \text{fail} \searrow e_0 &= i_0 \\ \text{mf} \searrow e_0 &= e_0 \vee i_0 \end{aligned}$$

$$\theta^{e_1, e_0} : T_1 e_1 \cdot T_0 e_0 \rightarrow T_0 (e_1 \searrow e_0) \cdot T_1 (e_1 \swarrow e_0)$$

$$\theta_X^{\text{pure}, e_0} : T_0 e_0 X \xlongequal{\quad} T_0 e_0 X$$

$$\theta_X^{\text{fail}, e_0} : 1 \xrightarrow{\eta_{01}} T_0 i_0 1$$

$$\theta_X^{\text{mf}, e_0} : T_0 e_0 X + 1 \xrightarrow{T_0 e_0 X + \eta_{01}} T_0 e_0 X + T_0 i_0 1 \longrightarrow T_0 (e_0 \vee i_0) (X + 1)$$

Grading the stack writer monad

$$(\mathbb{E}_0, i_0, *_0) = ((\mathbb{N}, \geq), 0, +)$$

$$(\mathbb{E}_1, i_1, *_1) = ((\mathbb{N}, \leq), 0, +)$$

$$n_1 \searrow n_0 = n_0 - n_1$$

$$n_1 \swarrow e_0 = n_1 - n_0$$

$$i = (0, 0)$$

$$(n_0, n_1) * (n'_0, n'_1) = (n_0 + (n'_0 - n_1), (n_1 - n'_0) + n_1)$$

$$T_0 n_0 X = \mathbb{N}_{\geq n_0} \times X$$

$$T_1 n_1 X = \Sigma^{\leq n_1} \times X$$

$$\begin{aligned} \theta_X^{n_0, n_1} : \Sigma^{\leq n_1} \times (\mathbb{N}_{\geq n_0} \times X) &\rightarrow \mathbb{N}_{\geq n_0 - n_1} \times (\Sigma^{\leq n_1 - n_0} \times X) \\ (w, (k, x)) &\mapsto (k - |w|, (\text{drop } k \ w, xs)) \end{aligned}$$

Graded comonads

- Given a monoid $(E, i, *)$. A *graded comonad* on a category \mathbb{C} is
 - for any $e : E$, a functor $D e : \mathbb{C} \rightarrow \mathbb{C}$
 - a nat. transf. $\varepsilon : D i \rightarrow \text{Id}$
 - for any $e, f : E$, a nat. transf. $\delta^{e,f} : D(e * f) \rightarrow D e \cdot D f$

such that

$$\begin{array}{ccc}
 D(i * e) \xrightarrow{\delta^{i,e}} D i \cdot D e & & D(e * i) \xrightarrow{\delta^{e,i}} D e \cdot D i \\
 \parallel & \downarrow \varepsilon \cdot D e & \parallel & \downarrow D e \cdot \varepsilon \\
 D e \equiv \text{Id} \cdot D e & & D e \equiv D e \cdot \text{Id}
 \end{array}$$

$$\begin{array}{ccc}
 D(e * (f * g)) \equiv D((e * f) * g) \xrightarrow{\delta^{e*f,g}} D(e * f) \cdot D g & & \\
 \delta^{e,f*g} \downarrow & & \downarrow \delta^{e,f} \cdot D g \\
 D e \cdot D(f * g) \xrightarrow{D e \cdot \delta^{f,g}} D e \cdot (D f \cdot D g) \equiv (D e \cdot D f) \cdot D g & &
 \end{array}$$

- In short, a graded comonad on \mathbb{C} is an oplax monoidal functor from $(E, i, *)$ as a discrete monoidal category to $([\mathbb{C}, \mathbb{C}], \text{Id}, \cdot)$.

Graded comonads ctd

- Again it makes sense to generalize from a monoid $(E, i, *)$ to a pomonoid $(\mathbb{E}, i, *)$.
- But now a graded **comonad** is reasonably defined as an **oplax** monoidal functor from $(\mathbb{E}, i, *)^{\text{op}}$ to $([\mathbb{C}, \mathbb{C}], \text{Id}, \cdot)$.

(Note the additional op.)

Example: Graded dataflow

$$(\mathbb{E}, i, *) = ((\mathbb{N}, \leq), 0, +)$$

$$D_n X = X \times X^{\leq n}$$

$$D(n \leq n') X : X \times X^{\leq n'} \times X \times X^{\leq n}$$

$$\varepsilon X : X \rightarrow X$$

$$\delta^{n,m} X : X \times X^{\leq n+m} \rightarrow (X \times X^{\leq m}) \times (X \times X^m)^{\leq n}$$

Takeaway

- Graded monads etc are natural concepts both theoretically and in terms of programming examples.
- Marrying monads and effects works!
- But as ever we see that it pays off to look at the categorical generalities to get everything right.