



Relating the Effective Topos to Homotopy Type Theory

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joint work with
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Overview of the talk

- Pseudo-equivalence relations and exact completions
- The effective topos $\mathcal{E}ff$
- The pseudo-equivalence relations in $\mathcal{A}sm$
- The cubical assemblies $\mathcal{A}sm^{\mathbb{C}^{op}}$
- The embedding of $\mathcal{E}ff$ into a homotopy quotient of $\mathcal{A}sm^{\mathbb{C}^{op}}$

Dedicated to the memory of

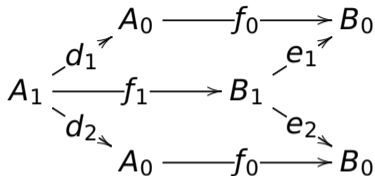
Aurelio Carboni



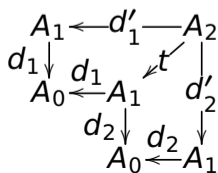
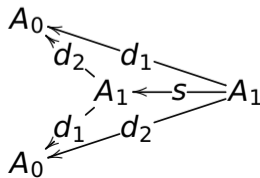
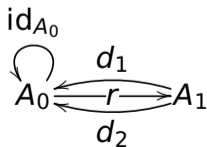
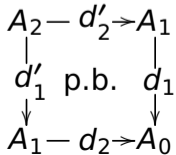
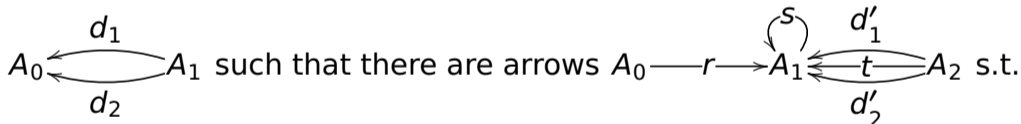
A category of pseudo-equivalence relations

\mathcal{A} : a category

$\text{Gph}(\mathcal{A}) \stackrel{\text{def}}{=} \dots$



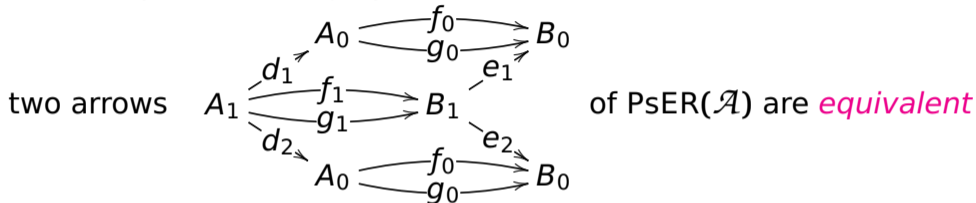
$\text{PsER}(\mathcal{A}) \stackrel{\text{def}}{=} \dots$ full subcategory of $\text{Gph}(\mathcal{A})$ on the *ps.-equivalence relations*, i.e.



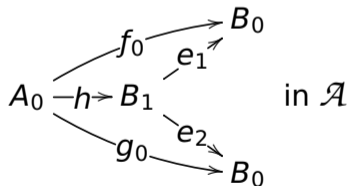
The exact completion of a category with finite limits

\mathcal{A} : a category with finite limits

\mathcal{A}_{ex} is a quotient category of $\text{PsER}(\mathcal{A})$:



if there is a “half-homotopy”



Carboni, A., Celia Magno, R.

The free exact category on a left exact one. *J. Aust. Math. Soc.* 1982

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Regular and exact completions. *J. Pure Appl. Alg.* 1998

Graphs are internal presheaves

\mathcal{A} : a lexextensive category

\mathbb{G}^{def} the internal category $\text{id}_b \circlearrowleft b \begin{array}{c} \xrightarrow{\partial_1} \\ \xrightarrow{\partial_2} \end{array} a \circlearrowright \text{id}_a$

i.e. $\mathbb{G}_0 \stackrel{\text{def}}{=} \mathbb{T} + \mathbb{T}$ $\mathbb{G}_1 \stackrel{\text{def}}{=} \mathbb{T} + \mathbb{T} + \mathbb{T} + \mathbb{T} \dots$ e.g. $\mathbb{G}(b, a) = \underline{2}$, $\mathbb{G}(a, b) = \underline{0}$

So $\text{PsER}(\mathcal{A}) \hookrightarrow_{\text{full}} \text{Gph}(\mathcal{A}) \equiv \mathcal{A}^{\mathbb{G}^{\text{op}}}$

and, for instance, $\text{PsER}(\mathcal{P}\mathcal{A}sm) \hookrightarrow_{\text{full}} \mathcal{P}\mathcal{A}sm^{\mathbb{G}^{\text{op}}}$
 \downarrow
 $\mathcal{P}\mathcal{A}sm_{\text{ex}} \equiv \mathcal{E}ff$

Partitioned assemblies, assemblies, and the effective topos

$$\mathcal{P}Asm \stackrel{\text{def}}{=} \begin{array}{ccc} S & \xrightarrow{f} & S' \\ \sigma \downarrow & & \downarrow \sigma' \\ N & \xrightarrow{\text{p.r.}} & N \end{array}$$

$$\begin{array}{ccccc} \mathcal{P}Asm & \xrightarrow{\text{full}} & Asm & \xrightarrow{\text{full}} & Eff \\ & \searrow & \parallel & & \parallel \\ & & \mathcal{P}Asm_{\text{reg}} & \xrightarrow{\quad} & \mathcal{P}Asm_{\text{ex}} \end{array}$$

Hyland, J.M.E.

The effective topos. *The L.E.J. Brouwer Centenary Symposium*, North Holland 1982

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Tripes Theory. *Math. Proc. Camb. Phil. Soc.* 1980

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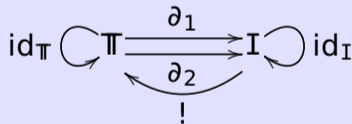
The internal category of cubes

\mathcal{A} : a locally cartesian closed category with a natural number object \mathbb{N}

$\mathbb{C} \stackrel{\text{def}}{=} \text{the internal category}$

i.e. $\mathbb{C}_0 \stackrel{\text{def}}{=} \mathbb{N}$ $\mathbb{C}_1 \stackrel{\text{def}}{=} \sum_{n,m:\mathbb{N}} \underline{n + 2^m} \dots$ e.g. $\mathbb{C}(a_n, a_m) = \underline{n + 2^m}$

The internal category \mathbb{C} in \mathcal{A} is the free binary-product completion of the internal category \mathbb{R}



Note that $\mathbb{G} \hookrightarrow \mathbb{R} \hookrightarrow \mathbb{C}$ and $\mathcal{A}^{\text{Gop}} \xleftarrow{i^*} \mathcal{A}^{\text{Cop}} \xrightarrow{i_*}$

Awodey, S.

A cubical model of homotopy type theory. Stockholm 2016

Grandis, M., Mauri, L.

Cubical sets and their sites. J. Pure Appl. Alg. 2003

Cubical assemblies

$\mathcal{A}sm^{\mathbb{C}^{op}}$ is the quasitopos of *cubical assemblies*.

Note that

$$\begin{array}{ccc}
 & & \mathcal{A}sm^{\mathbb{C}^{op}} \\
 & \begin{array}{c} \xrightarrow{i_!} \\ \perp \\ i^* \\ \perp \\ \xrightarrow{i_*} \end{array} & \\
 \mathcal{A}sm^{\mathbb{G}^{op}} & & \\
 & \begin{array}{c} \xrightarrow{i_!} \\ \perp \\ i^* \\ \perp \\ \xrightarrow{i_*} \end{array} & \\
 G & \xrightarrow{i_*} & [n \mapsto \mathcal{A}sm^{\mathbb{G}^{op}}(\mathbb{I}^n, G)]
 \end{array}$$

where \mathbb{I} is an interval object which induces a cubical structure in $\mathcal{A}sm^{\mathbb{G}^{op}}$

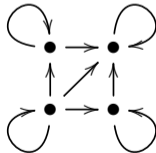
$\mathbb{I}^0 = \mathbb{T}$



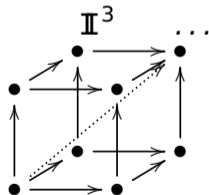
$\mathbb{I}^1 = \mathbb{I}$



\mathbb{I}^2



\mathbb{I}^3



Orton, I., Pitts, A. M.

Axioms for modelling cubical type theory in a topos. *Computer Science Logic 2016*

Kan fibrations of cubical assemblies

An *n*-box is $b: B \twoheadrightarrow I^n$, $n > 0$, obtained by taking a decidable subobject $D \twoheadrightarrow I^{n-1}$, and glueing $I \times D \twoheadrightarrow I^n$ to $D \twoheadrightarrow I^{n-1} \twoheadrightarrow I^n$.

A map $f: E \rightarrow F$ has the *right lifting property* with respect to $b: B \twoheadrightarrow I^n$

if, in every diagram

$$\begin{array}{ccc}
 B & \xrightarrow{p} & E \\
 b \downarrow & & \downarrow f \\
 I^n & \xrightarrow{q} & F
 \end{array}$$

there is a *diagonal filler*

$$\begin{array}{ccc}
 B & \xrightarrow{p} & E \\
 b \downarrow & d \nearrow & \downarrow f \\
 I^n & \xrightarrow{q} & F
 \end{array}$$

A map $f: E \twoheadrightarrow F$ is a *Kan fibration* if it has the r.l.p. with respect to all boxes.

$\text{Kan}(\mathcal{A}sm^{\text{C}op}) \stackrel{\text{def}}{=} \text{the full subcategory of } \mathcal{A}sm_1^{\text{C}op} \text{ on the (Kan) fibrant objects,}$
i.e. objects C such that $C \twoheadrightarrow \mathbb{T}$ is a Kan fibration.

Awodey, S., Warren, M. A.

Homotopy theoretic models of identity types. *Math. Proc. Camb. Phil. Soc.* 2009

Bezem, M., Coquand, T., Huber, S.

A model of type theory in cubical sets. *Types for Proofs and Programs* 2014

Uemura, T.

Cubical assemblies and the independence of the propositional resizing axiom. *arXiv* 2018

Ps.-equivalence relations of assemblies as cubical assemblies

$$\begin{array}{ccccc} \mathcal{P}Asm^{\mathbb{G}^{op}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\mathbb{G}^{op}} & \xrightarrow{i_*} & \mathcal{A}sm^{\mathbb{C}^{op}} \\ \uparrow \text{full} & & \uparrow \text{full} & & \uparrow \text{full} \\ \text{PsER}(\mathcal{P}Asm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & & \text{Kan}(\mathcal{A}sm^{\mathbb{C}^{op}}) \end{array}$$

Ps.-equivalence relations of assemblies as cubical assemblies

$$\begin{array}{ccccc}
 \mathcal{P}Asm^{\mathbb{G}^{op}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\mathbb{G}^{op}} & \xrightarrow{i_*} & \mathcal{A}sm^{\mathbb{C}^{op}} \\
 \uparrow \text{full} & & \uparrow \text{full} & & \uparrow \text{full} \\
 \text{PsER}(\mathcal{P}Asm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & & \text{Kan}(\mathcal{A}sm^{\mathbb{C}^{op}})
 \end{array}$$

Theorem. The functor $\text{PsER}(\mathcal{A}sm) \xrightarrow{\text{full}} \mathcal{A}sm^{\mathbb{G}^{op}} \xrightarrow{i_*} \mathcal{A}sm^{\mathbb{C}^{op}}$
 (i) is faithful

Ps.-equivalence relations of assemblies as cubical assemblies

$$\begin{array}{ccccc}
 \mathcal{P}Asm^{\mathbb{G}^{op}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\mathbb{G}^{op}} & \xrightarrow{i_*} & \mathcal{A}sm^{\mathbb{C}^{op}} \\
 \uparrow \text{full} & & \uparrow \text{full} & \nearrow & \uparrow \text{full} \\
 \text{PsER}(\mathcal{P}Asm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & & \text{Kan}(\mathcal{A}sm^{\mathbb{C}^{op}})
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Ps.-equivalence relations of assemblies as cubical assemblies

$$\begin{array}{ccccc}
 \mathcal{P}\mathcal{A}sm^{\text{Gop}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\text{Gop}} & \xrightarrow{i_*} & \mathcal{A}sm^{\text{Cop}} \\
 \uparrow \text{full} & & \uparrow \text{full} & \nearrow \text{full up to homotopy} & \uparrow \text{full} \\
 \text{PsER}(\mathcal{P}\mathcal{A}sm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & & \text{Kan}(\mathcal{A}sm^{\text{Cop}})
 \end{array}$$

Theorem. The functor $\text{PsER}(\mathcal{A}sm) \hookrightarrow \mathcal{A}sm^{\text{Gop}} \xrightarrow{i_*} \mathcal{A}sm^{\text{Cop}}$

- (i) is faithful
- (ii) is full “up to homotopy”, in the sense that for every $g: i_*(G) \rightarrow i_*(H)$ in $\mathcal{A}sm^{\text{Cop}}$ there is $f: G \rightarrow H$ in $\text{PsER}(\mathcal{A}sm)$

such that

$$\begin{array}{ccc}
 & i_*(G) & \\
 \langle \perp!, \text{id} \rangle \downarrow & \searrow g & \\
 \mathbb{I} \times i_*(G) & \xrightarrow{h} & i_*(H) \\
 \langle \top!, \text{id} \rangle \uparrow & \nearrow i_*(f) & \\
 & i_*(G) &
 \end{array}$$

commutes for some $h: \mathbb{I} \times i_*(G) \rightarrow i_*(H)$.

Ps.-equivalence relations of assemblies as cubical assemblies

$$\begin{array}{ccccc}
 \mathcal{P}Asm^{\mathcal{G}^{op}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\mathcal{G}^{op}} & \xrightarrow{i_*} & \mathcal{A}sm^{\mathcal{C}^{op}} \\
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 \text{PsER}(\mathcal{P}Asm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & \xrightarrow{\quad} & \text{Kan}(\mathcal{A}sm^{\mathcal{C}^{op}})
 \end{array}$$

Theorem. The functor $\text{PsER}(\mathcal{A}sm) \xrightarrow{\quad} \mathcal{A}sm^{\mathcal{G}^{op}} \xrightarrow{i_*} \mathcal{A}sm^{\mathcal{C}^{op}}$

- (i) is faithful
- (ii) is full “up to homotopy”
- (iii) maps a ps.-equivalence relation G in $\text{PsER}(\mathcal{A}sm)$ to a Kan fibrant object in $\mathcal{A}sm^{\mathcal{C}^{op}}$.

Ps.-equivalence relations of assemblies as cubical assemblies

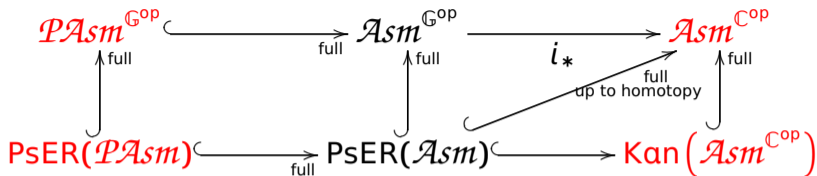
$$\begin{array}{ccccc}
 \mathcal{P}Asm^{\mathcal{G}^{op}} & \xrightarrow{\text{full}} & Asm^{\mathcal{G}^{op}} & \xrightarrow{i_*} & Asm^{\mathcal{C}^{op}} \\
 \uparrow \text{full} & & \uparrow \text{full} & \nearrow \text{full up to homotopy} & \uparrow \text{full} \\
 \text{PsER}(\mathcal{P}Asm) & \xrightarrow{\text{full}} & \text{PsER}(Asm) & \xrightarrow{\quad} & \text{Kan}(Asm^{\mathcal{C}^{op}})
 \end{array}$$

Theorem. The functor $\text{PsER}(Asm) \hookrightarrow Asm^{\mathcal{G}^{op}} \xrightarrow{i_*} Asm^{\mathcal{C}^{op}}$

- (i) is faithful
- (ii) is full “up to homotopy”
- (iii) maps a ps.-equivalence relation G in $\text{PsER}(Asm)$ to a Kan fibrant object in $Asm^{\mathcal{C}^{op}}$.

Moreover, if the graph G is in $\mathcal{P}Asm$, and $i_*(G)$ is Kan fibrant, then G is a ps.-equivalence relation.

Ps.-equivalence relations of assemblies as cubical assemblies



Theorem. The functor $PsER(Asm) \hookrightarrow Asm^{\mathcal{G}^{op}} \xrightarrow{i_*} Asm^{\mathcal{C}^{op}}$

- (i) is faithful
- (ii) is full "up to homotopy"
- (iii) maps a ps.-equivalence relation G in $PsER(Asm)$ to a Kan fibrant object in $Asm^{\mathcal{C}^{op}}$.

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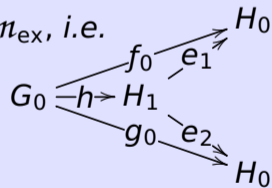
Homotopies for pseudo-equivalence relations

Theorem. Consider $G_1 \begin{matrix} \nearrow d_1 \\ \xrightarrow{f_1} \\ \searrow d_2 \end{matrix} \begin{matrix} G_0 \\ \xrightarrow{g_1} \\ G_0 \end{matrix} \begin{matrix} \xrightarrow{f_0} \\ \xrightarrow{g_0} \\ \xrightarrow{f_0} \\ \xrightarrow{g_0} \end{matrix} \begin{matrix} H_0 \\ \xrightarrow{e_1} \\ H_1 \\ \xrightarrow{e_2} \\ H_0 \end{matrix}$ in $\text{PsER}(\mathcal{A}sm)$.

The following are equivalent:

(i) f and g represent the same arrow in $\mathcal{A}sm_{\text{ex}}$, i.e.

there is $h: G_0 \rightarrow H_1$ in $\mathcal{A}sm$ such that



(ii) the maps $i_*(G) \begin{matrix} \xrightarrow{i_*(f)} \\ \xrightarrow{i_*(g)} \end{matrix} i_*(H)$ are homotopically equivalent in $\widehat{\mathcal{C}}$.

Homotopies for pseudo-equivalence relations

$$\begin{array}{ccccc}
 \mathcal{P}\mathcal{A}sm^{\mathbb{G}^{op}} & \xrightarrow{\text{full}} & \mathcal{A}sm^{\mathbb{G}^{op}} & \xrightarrow{\quad} & \mathcal{A}sm^{\mathbb{C}^{op}} \\
 \uparrow \text{full} & & \uparrow \text{full} & \nearrow i_* & \uparrow \text{full} \\
 \text{PsER}(\mathcal{P}\mathcal{A}sm) & \xrightarrow{\text{full}} & \text{PsER}(\mathcal{A}sm) & \xrightarrow{\quad} & \text{Kan}(\mathcal{A}sm^{\mathbb{C}^{op}}) \\
 \downarrow & & \downarrow & \nearrow \text{up to homotopy} & \downarrow \\
 \mathcal{P}\mathcal{A}sm_{ex} & \xrightarrow{\text{full}} & \mathcal{A}sm_{ex} & \xrightarrow{\text{full}} & \text{Ho}(\text{Kan}(\widehat{\mathbb{C}})) \\
 \Downarrow & & \Downarrow & & \\
 \mathcal{E}ff & & \mathcal{E}xt & &
 \end{array}$$

van den Berg, B., Moerdijk, J.

Exact completion of path categories and algebraic set theory. *J. Pure Appl. Alg.* 2017

References

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